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PATENTS  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicant : Philip M. Ginsberg  
Application No.: 09/225,537 Confirmation No.: 2363  
Filed : January 4, 1999; CPA Filed July 31, 2000  
For : FIXED INCOME PORTFOLIO INDEX PROCESSOR  
Group Art Unit : 2164  
Examiner : Dr. Geoffrey Akers

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

New York, New York  
February 20, 2001

PRELIMINARY AMENDMENT

Sir:

Prior to examination, please amend the above-identified patent application as follows:

In The Title

Please amend the title of the invention on page 1 of the specification from "FIXED INCOME PORTFOLIO DATA PROCESSOR" to --FIXED INCOME PORTFOLIO INDEX PROCESSOR--.

In The Specification

Please amend the specification as follows:  
Page 1, before line 1, insert:

CROSS REFERENCE TO RELATED APPLICATIONS

This is a continuation of copending, commonly assigned United States Patent Application No. 08/396,422, filed February 28, 1995, now United States Patent No. 5,774,880, issued June 30, 1998, which is a file wrapper continuation of United States Patent Application No. 07/897,377, filed June 10, 1992, now abandoned.

FIELD OF THE INVENTION